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Dated: <u>2/9/06</u> Signature:

(Valerie J. Sarosky)

Docket No.: BIDM-P01-014

PATENT)

PECHOENTER 15 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

John V. Frangioni

Application No.: 10/521066

Filed: January 11, 2005

For: CONJUGATED INFRARED

FLUORESCENT SUBSTANCES FOR

DETECTION OF CELL DEATH

Confirmation No.: 6848

Art Unit: N/A

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (SIDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A summary/abstract translation of non-English language references BB and BC are enclosed.

Copies of two International Search Reports are enclosed.

Applicant submits herewith copies of foreign and non-patent references in accordance with 37 CFR 1.98(a)(2).

Application No.: 10/521066 Docket No.: BIDM-P01-014

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Supplemental Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. BIDM-P01-014.

Dated: February 9, 2006

Respectfully submitted,

Maya Escobar

Registration No.: 56,346

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PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Index the Paper Reduction Act (\$1.50 persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE

(Use as many sheets as necessary)

STATEMENT BY APPLICANT

Sheet 1 of 1

Substitute for form 1449A/B

	Complete if F	Cnown	k s.	
Application Number	10/521066	10/521066		
Filing Date	January 1	1, 2005	, CX	
First Named Inventor	John V. Fi	John V. Frangioni		
Art Unit	N/A	o, 1		
Examiner Name	Not Yet As	[Co. 0		
Attorney Docket Number	BIDM-P01-014		2	
			1300	
DOCUMENTS] <i>'9</i>	
Name of Patentee		Pages, Columns, Lines, Where Relevant Passages or Relevant		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
	ВВ	WO-00/35490-A2	06-22-2000	Busch, et al.		
	ВС	JP-55 007245 A	01-19-1980	Dai Ichi Seiyaku Co. Ltd.		
	BD	WO-96/17628	06-13-1996	Institut Fur		
			Diagnostikforschung GmbH]	
				an Der Freien Universitat		1]
				Berlin		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	CA	Gandorfer et al., "Retinal Damage from Indocyanine Green in Experimental Macular Surgery," Investigative Ophthalmology & Visual Science, 44(1):316-323 (2003).				
	CB Parungo et al., "In Vivo Optical Imaging of Pleural Space Drainage to Lymph Nodes of Prognostic Significane," <i>Annals of Surgical Oncology</i> , 11(12):1085-1092 (2004).					
	CC	Zaheer et al., "IRDye 78 Conjugates for Near-Infrared Fluorescence Imaging," <i>Molecular Imaging</i> , 1(4):354-364 (2002).				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	 Date	
Signature	Considered	
0000400		

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

FEB 1 3 2006 B

PTO/SB/92 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application No. (if known): 10/521066

Attorney Docket No.: BIDM-P01-014

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Form PTO/SB/08a/b with Certificate of Mailing (2 pages)

Copies of References (6) (BB-BD; CA-CC)

Copy of Partial International Search Report (3 pages)

Copy of International Search Report (3 pages)

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Annex.——Form PCT/ISA/206 COMMUNICATIO: AELATING TO THE RESULTS OF THE PARTIAL INTERNATIONAL SEARCH

ı	ational	Applica	ition I	No.
PCT	/US2	004/	038	682

- 1. The present communication is an <u>Annex</u> to the invitation to pay additional fees (Form PCT/ISA/206). It shows the results of the international search <u>established</u> on the parts of the international application which relate to the invention first mentioned in claims Nos.:
- see 'Invitation to pay additional fees' 2. This communication is not the international search report which will be established according to Article 18 and Rule 43.
- 3.If the applicant does not pay any additional search fees, the information appearing in this communication will be considered as the result of the international search and will be included as such in the international search report.
- 4.If the applicant pays additional fees, the international search report will contain both the information appearing in this communication and the results of the international search on other parts of the international application for which such fees will have been paid.

Category °	ENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
alegory	Chancil of document, with indication, where appropriate, of the relevant passages	Tible Valle to Galli 140.
X	WO 00/35490 A (BUSCH, CHRISTER)	1,5,7,17
^	22 June 2000 (2000–06–22)	-,-,-,
	claims 1,4,7,10	
Y	PATENT ABSTRACTS OF JAPAN	1,4-17
	vol. 004, no. 034 (C-003),	1
	22 March 1980 (1980-03-22)	
	& JP 55 007245 A (DAI ICHI SEIYAKU CO	
	LTD), 19 January 1980 (1980-01-19)	·
	abstract	
Υ	WO 96/17628 A (INSTITUT FUER	1,4-17
1	DIAGNOSTIKFORSCHUNG GMBH AN DER FREIE;	
	LICHA, KAI; RIEFK)	
	13 June 1996 (1996-06-13)	
	page 9; claim 7	
Y	ZAHEER A ET AL: "IRDYE78 CONJUGATES FOR	1,4-17
	NEAR-INFRARED FLUORESCENCE IMAGING"	
	MOLECULAR IMAGING, MIT PRESS,, US,	
	vol. 1, no. 4, October 2002 (2002-10),	
	pages 354-364, XP008029547 ISSN: 1535-3508	
	page 364; figure 3	
	abstract	•
	-/	
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	N Date of the state of the stat	

Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date

Further documents are listed in the continuation of box C.

- 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed
- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

Patent family members are listed in annex.

- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&" document member of the same patent family

II. __altional Application No PCT/US2004/038682

.(Continua	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	·
ategory °	Citation of document, with Indication, where appropriate, of the relevant passages	 Relevant to claim No.
	GANDORFER A ET AL: "Retinal damage from indocyanine green in experimental macular surgery" INVESTIGATIVE OPHTHALMOLOGY & VISUAL SCIENCE, ASSOCIATION FOR RESEARCH IN VISION AND, US, vol. 44, no. 1, January 2003 (2003-01), pages 316-323, XP002989964 ISSN: 0146-0404 abstract	1,4-17
Ρ, Χ	PARUNGO C. P. ET AL: "In vivo optical imaging of pleural space drainage to lymph nodes of prognostic significance" ANNALS OF SURGICAL ONCOLOGY, vol. 11, no. 12, 2004, pages 1085-1092, XP008053242 abstract; figure 1 page 1091 - page 1092	1,4-7, 10-14, 16,17
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Information on patent family members

h ational Application No PCT/US2004/038682

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
WO 0035490 A	22-06-2000	AU	2282400 A	03-07-2000
JP 55007245 A	19-01-1980	JP JP	1434195 C 62042892 B	07-04-1988 10-09-1987
WO 9617628 A	13-06-1996	AT AU AU CA CN DE DK EP ES HU JP NO NZ PT US ZA	285254 T 238071 T 709152 B2 3740995 A 2205906 A1 1174511 A 4445065 A1 796111 T3 0796111 A1 2236131 T3 2198446 T3 77378 A2 10510250 T 2002012782 A 972509 A 294568 A 1181940 T 796111 T 6258340 B1 6083485 A 9509707 A	15-01-2005 15-05-2003 19-08-1999 26-06-1996 13-06-1996 25-02-1998 13-06-1996 11-08-2003 24-09-1997 16-07-2005 01-02-2004 28-04-1998 06-10-1998 15-01-2002 02-06-1997 30-08-1999 29-04-2005 30-09-2003 10-07-2001 04-07-2000 29-05-1996

INTER. TIONAL SEARCH REPORT

International Application No
PCT/US2004/038682

A. CLASSIFICATION OF SUBJECT MATTER A61K49/00 A61K47/48

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{tabular}{ll} \begin{tabular}{ll} Minimum documentation searched (classification system followed by classification symbols) \\ A61K \end{tabular}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, BIOSIS, CHEM ABS Data, EMBASE

Category °	Citation of document, with Indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00/35490 A (BUSCH, CHRISTER) 22 June 2000 (2000-06-22) claims 1,4,7,10	1,5,7,17
Υ	PATENT ABSTRACTS OF JAPAN vol. 004, no. 034 (C-003), 22 March 1980 (1980-03-22) & JP 55 007245 A (DAI ICHI SEIYAKU CO LTD), 19 January 1980 (1980-01-19) abstract	1,4-17
Y	WO 96/17628 A (INSTITUT FUER DIAGNOSTIKFORSCHUNG GMBH AN DER FREIE; LICHA, KAI; RIEFK) 13 June 1996 (1996-06-13) page 9; claim 7	1,4-17

X Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention. "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone. "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
3 October 2005	- 9. 01. 2006
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Gonzalez Ramon, N

		PC1/032004/030002	
.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		_
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
Y	ZAHEER A ET AL: "IRDYE78 CONJUGATES FOR NEAR-INFRARED FLUORESCENCE IMAGING" MOLECULAR IMAGING, MIT PRESS,, US, vol. 1, no. 4, October 2002 (2002-10), pages 354-364, XP008029547 ISSN: 1535-3508 page 364; figure 3 abstract	1,4-17	
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P,X	PARUNGO C. P. ET AL: "In vivo optical imaging of pleural space drainage to lymph nodes of prognostic significance" ANNALS OF SURGICAL ONCOLOGY, vol. 11, no. 12, 2004, pages 1085-1092, XP008053242 abstract; figure 1 page 1091 - page 1092	1,4-7, 10-14, 16,17	

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Information on patent family members

International Application No PCT/US2004/038682

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 0035490	A	22-06-2000	AU	2282400 A	03-07-2000
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WO 9617628	A	13-06-1996	AT AU AU CA CDE ESS HUP JP NO NZ PT US ZA	285254 T 238071 T 709152 B2 3740995 A 2205906 A1 1174511 A 4445065 A1 796111 T3 0796111 A1 2236131 T3 2198446 T3 77378 A2 10510250 T 2002012782 A 2005232190 A 972509 A 294568 A 1181940 T 796111 T 6258340 B1 6083485 A 9509707 A	15-01-2005 15-05-2003 19-08-1999 26-06-1996 13-06-1996 25-02-1998 13-06-1996 11-08-2003 24-09-1997 16-07-2005 01-02-2004 28-04-1998 06-10-1998 15-01-2002 02-09-2005 02-06-1997 30-08-1999 29-04-2005 30-09-2003 10-07-2001 04-07-2000 29-05-1996